# Lazy DFAs

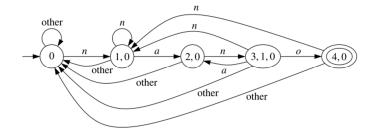
- We introduce a new data structure: lazy DFAs. We construct a lazy DFA for  $\Sigma^* p$  with m states and 2m transitions
- Lazy DFAs: automata that read the input from a tape by means of a reading head that can move one cell to the right or stay put
- DFA=Lazy DFA whose head never stays put

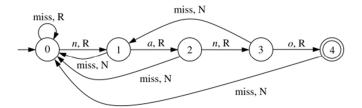


# Lazy DFA for $\Sigma^* p$

- By the fundamental property, the DFA  $B_p$  for  $\Sigma^* p$  behaves from state  $S_k$  as follows:
  - If a is a hit, then  $\delta_B(S_k, a) = S_{k+1}$ , i.e., the DFA moves to the next state in the spine.
  - If a is a miss, then  $\delta_B(S_k, a) = \delta_B(t(S_k), a)$ , i.e., the DFA moves to the same state it would move to if it were in state  $t(S_k)$ .
- When a is a miss for  $S_k$ , the lazy automaton moves to state  $t(S_k)$  without advancing the head. In other words, it "delegates" doing the move to  $t(S_k)$
- So the lazyDFA behaves the same for all misses.







- Formally,
  - $-\delta_C(S_k, a) = (S_{k+1}, R) \text{ if } a \text{ is a hit}$  $-\delta_C(S_k, a) = (t(S_k), N) \text{ if } a \text{ is a miss}$
- So the lazy DFA has m + 1 states and 2m transitions, and can be constructed in O(m) space.

- Running the lazy DFA on the text takes O(n + m) time:
  - For every text letter we have a sequence of "stay put" steps followed by a "right" step. Call it a macrostep.
  - Let  $S_{j_i}$  be the state after the i-th macrostep. The number of steps of the i-th macrostep is at most  $j_{i-1} j_i + 2$ .

So the total number of steps is at most

$$\sum_{i=1}^{n} (j_{i-1} - j_i + 2) = j_0 - j_n + 2n \le m + 2n$$



# Computing *Miss*

- For the O(m + n) bound it remains to show that the lazy DFA can be constructed in O(m) time.
- Let Miss(k) be the head of the state reached from S<sub>k</sub> by a miss.
- It is easy to compute each of Miss(0), ..., Miss(m) in O(m) time, leading to a  $O(n + m^2)$  time algorithm.
- Already good enough for almost all purposes. But, can we compute all of Miss(0), ..., Miss(m) together in time O(m)? Looks impossible!
- It isn't though ...





$$miss(S_i) = \begin{cases} S_0 & \text{if } i = 0 \text{ or } i = 1\\ \delta_B(miss(S_{i-1}), b_i) & \text{if } i > 1 \end{cases}$$

$$\delta_B(S_j, b) = \begin{cases} S_{j+1} & \text{if } b = b_{j+1} \text{ (hit)}\\ S_0 & \text{if } b \neq b_{j+1} \text{ (miss) and } j = 0\\ \delta_B(miss(S_j), b) & \text{if } b \neq b_{j+1} \text{ (miss) and } j \neq 0 \end{cases}$$

Miss(p)

**Input:** word pattern  $p = b_1 \cdots b_m$ . **Output:** heads of targets of miss transitions.

1 
$$Miss(0) \leftarrow 0$$
;  $Miss(1) \leftarrow 0$ 

- 2 for  $i \leftarrow 2, \ldots, m$  do
- $Miss(i) \leftarrow DeltaB(Miss(i-1), b_i)$

DeltaB(i,b)

**Input:** number  $i \in \{0, ..., m\}$ , letter b. **Output:** head of the state  $\delta_B(S_i, b)$ .

- 1 while  $b \neq b_{j+1}$  and  $j \neq 0$  do  $j \leftarrow Miss(j)$
- 2 if  $b = b_{i+1}$  then return j + 1
- 3 else return 0

#### Miss(p)

**Input:** word pattern  $p = b_1 \cdots b_m$ .

Output: heads of targets of miss transitions.

- $Miss(0) \leftarrow 0$ ;  $Miss(1) \leftarrow 0$
- 2 for  $i \leftarrow 2, \dots, m$  do
- $Miss(i) \leftarrow DeltaB(Miss(i-1), b_i)$

#### DeltaB(j,b)

**Input:** number  $j \in \{0, ..., m\}$ , letter b. **Output:** head of the state  $\delta_B(S_i, b)$ .

- while  $b \neq b_{j+1}$  and  $j \neq 0$  do  $j \leftarrow Miss(j)$
- if  $b = b_{j+1}$  then return j + 1
- else return 0

- All calls to *DeltaB* lead together to O(m) iterations of the while loop.
- The call  $DeltaB(Miss(i-1), b_i)$ executes at most Miss(i-1) - (Miss(i)-1)iterations.

• Total number of iterations:

$$\sum_{i=2}^{m} (Miss(i-1) - Miss(i) + 1)$$

$$\leq Miss(1) - Miss(m) + m$$

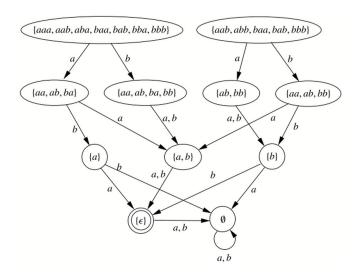
$$\leq m$$

#### 7. Finite Universes

- When the universe is finite (e.g., the interval  $[0, 2^{32} 1]$ ), all objects can be encoded by words of the same length.
- A language L has length  $n \ge 0$  if
  - $L = \emptyset$  and n = 0, or
  - $L \neq \emptyset$  and every word of L has length n.
- L is a fixed-length language if it has length n for some  $n \geq 0$ .
- Observe:
  - Fixed-length languages contain finitely many words.
  - $\emptyset$  and  $\{\varepsilon\}$  are the only two languages of length 0.



### The Master Automaton





- The master automaton over  $\Sigma$  is the tuple  $M = (Q_M, \Sigma, \delta_M, F_M)$ , where
  - $-Q_M$  is the set of all fixed-length languages;
  - $-\delta_M: Q_M \times \Sigma \to Q_M$  is given by  $\delta_M(L, a) = L^a$ ;
  - $-F_M$  is the set  $\{ \{ \epsilon \} \}$ .
- Prop: The language recognized from state L of the master automaton is L.

Proof: By induction on the length n of L.

- n=0. Then either  $L=\emptyset$  or  $L=\{\varepsilon\}$  , and result follows by inspection.
- n>0. Then  $\delta_M(L,a)=L^a$  for every  $a\in \Sigma$ , and  $L^a$  has smaller length than L. By induction hypothesis the state  $L^a$  recognizes the language  $L^a$ , and so the state L recognizes the language L.





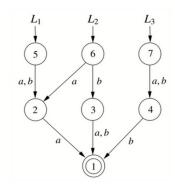
- We denote the "fragment" of the master automaton reachable from state L by A<sub>L</sub>:
  - Initial state is L.
  - States and transitions are those reachable from L.
- Prop:  $A_L$  is the minimal DFA recognizing L.

  Proof: By definition, all states of  $A_L$  are reachable from its initial state. Since every state of the master automaton recognizes its "own" language, distinct states of  $A_L$  recognize distinct languages.

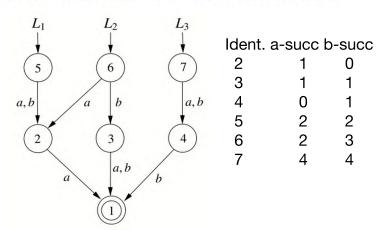
31

### **Data structure for fixed-length languages**

- The structure representing the set of languages  $\mathcal{L} = \{L_1, ..., L_m\}$  is the fragment of the master automaton containing states  $L_1, ..., L_m$  and their descendants.
- It is a multi-DFA, i.e., a DFA with multiple initial states.

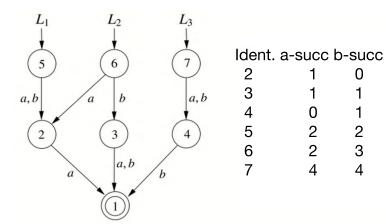


In order to manipulate multi-DFAs we represent them as a *table of nodes*. Assume  $\Sigma = \{a_1, \ldots, a_m\}$ . A *node* is a pair  $\langle q, s \rangle$ , where q is a *state identifier* and  $s = (q_1, \ldots, q_m)$  is the *successor tuple* of the node. The multi-DFA is represented by a table containing a node for each state, but the state corresponding to the empty language<sup>1</sup>.



- We represent multi-DFAs as tables of nodes .
- A node is a pair  $\langle q, s \rangle$  where
  - q is a state identifier, and
  - $-s = (q_1, ..., q_m)$  is a successor tuple.
- The table for a multi-DFA contains a node for each state but the state for the empty language.





- The procedure make[T](s)
  - returns the state identifier of the node of table T having s as successor tuple, if such a node exists;
  - otherwise it adds a new node  $\langle q, s \rangle$  to T, where q is a fresh identifier, and returns q.
- make[T](s) assumes that T contains a node for every identifier in s.



