

Searching

An extension of binary search with p processors gives that one can find the rank of an element in

$$\log_{p+1}(n) = \frac{\log n}{\log(p+1)}$$

many parallel steps with p processors. (not work-optimal)

This requires a CREW PRAM model. For the EREW model searching cannot be done faster than $\mathcal{O}(\log n - \log p)$ with p processors even if there are p copies of the search key.

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Merging

Given two sorted sequences $A = (a_1, \dots, a_n)$ and $B = (b_1, \dots, b_n)$, compute the sorted sequence $C = (c_1, \dots, c_n)$.

Definition 1

Let $X = (x_1, \dots, x_t)$ be a sequence. The **rank** $\text{rank}(y : X)$ of y in X is

$$\text{rank}(y : X) = |\{x \in X \mid x \leq y\}|$$

For a sequence $Y = (y_1, \dots, y_s)$ we define $\text{rank}(Y : X) := (r_1, \dots, r_s)$ with $r_i = \text{rank}(y_i : X)$.

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We have already seen a merging-algorithm that runs in time $\mathcal{O}(\log n)$ and work $\mathcal{O}(n)$.

Using the fast search algorithm we can improve this to a running time of $\mathcal{O}(\log \log n)$ and work $\mathcal{O}(n \log \log n)$.

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Merging

Input: $A = a_1, \dots, a_n$; $B = b_1, \dots, b_m$; $m \leq n$

1. if $m < 4$ then rank elements of B , using the parallel search algorithm with p processors. Time: $\mathcal{O}(1)$. Work: $\mathcal{O}(n)$.
2. Concurrently rank elements $b_{\sqrt{m}}, b_{2\sqrt{m}}, \dots, b_m$ in A using the parallel search algorithm with $p = \sqrt{n}$. Time: $\mathcal{O}(1)$.
Work: $\mathcal{O}(\sqrt{m} \cdot \sqrt{n}) = \mathcal{O}(n)$

$$j(i) := \text{rank}(b_{i\sqrt{m}} : A)$$

3. Let $B_i = (b_{i\sqrt{m}+1}, \dots, b_{(i+1)\sqrt{m}-1})$; and
 $A_i = (a_{j(i)+1}, \dots, a_{j(i+1)})$.

Recursively compute $\text{rank}(B_i : A_i)$.

4. Let k be index not a multiple of \sqrt{m} . $i = \lceil \frac{k}{\sqrt{m}} \rceil$. Then
 $\text{rank}(b_k : A) = j(i) + \text{rank}(b_k : A_i)$.

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The algorithm can be made work-optimal by standard techniques.

proof on board...

Lemma 2

A straightforward parallelization of Mergesort can be implemented in time $\mathcal{O}(\log n \log \log n)$ and with work $\mathcal{O}(n \log n)$.

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Mergesort

Let $L[v]$ denote the (sorted) sublist of elements stored at the leaf nodes rooted at v .

We can view Mergesort as computing $L[v]$ for a complete binary tree where the leaf nodes correspond to nodes in the given array.

Since the merge-operations on one level of the complete binary tree can be performed in parallel we obtain time $\mathcal{O}(h \log \log n)$ and work $\mathcal{O}(hn)$, where $h = \mathcal{O}(\log n)$ is the height of the tree.

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Pipelined Mergesort

We again compute $L[v]$ for every node in the complete binary tree.

After round s , $L_s[v]$ is an **approximation** of $L[v]$ that will be improved in future rounds.

For $s \geq 3 \text{ height}(v)$, $L_s[v] = L[v]$.

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In every round, a node v sends $\text{sample}(L_s[v])$ (an approximation of its current list) upwards, and receives approximations of the lists of its children.

It then computes a new approximation of its list.

A node is called **active** in round s if $s \leq 3 \text{ height}(v)$ (this means its list is not yet complete at the start of the round, i.e., $L_{s-1}[v] \neq L[v]$).

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Algorithm 11 ColeSort()

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1: initialize  $L_0[v] = A_v$  for leaf nodes;  $L_0[v] = \emptyset$  otw.  
2: for  $s \leftarrow 1$  to  $3 \cdot \text{height}(T)$  do  
3:   for all active nodes  $v$  do  
4:     //  $u$  and  $w$  children of  $v$   
5:      $L'_s[u] \leftarrow \text{sample}(L_{s-1}[u])$   
6:      $L'_s[w] \leftarrow \text{sample}(L_{s-1}[w])$   
7:      $L_s[v] \leftarrow \text{merge}(L'_s[u], L'_s[w])$ 
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$$\text{sample}(L_s[v]) = \begin{cases} \text{sample}_4(L_s[v]) & s \leq 3 \text{ height}(v) \\ \text{sample}_2(L_s[v]) & s = 3 \text{ height}(v) + 1 \\ \text{sample}_1(L_s[v]) & s = 3 \text{ height}(v) + 2 \end{cases}$$

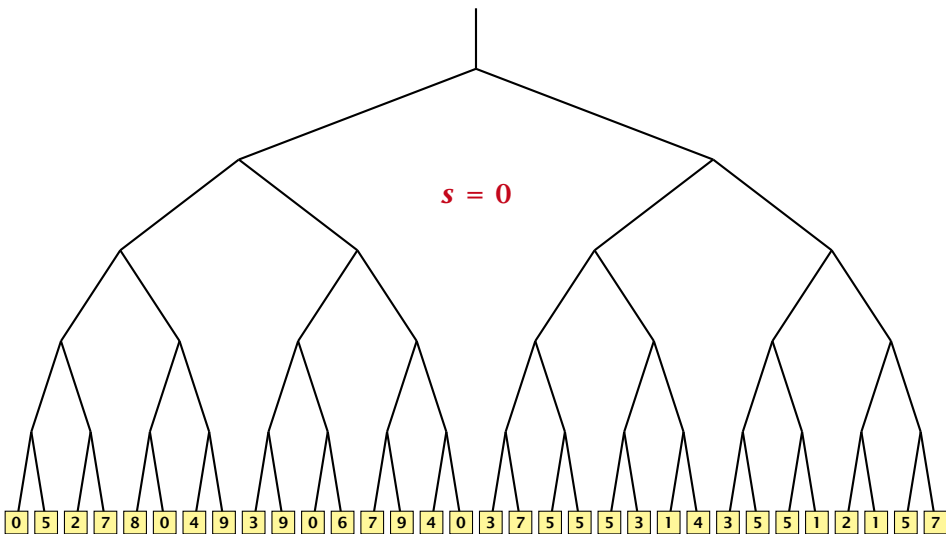
Pipelined Mergesort

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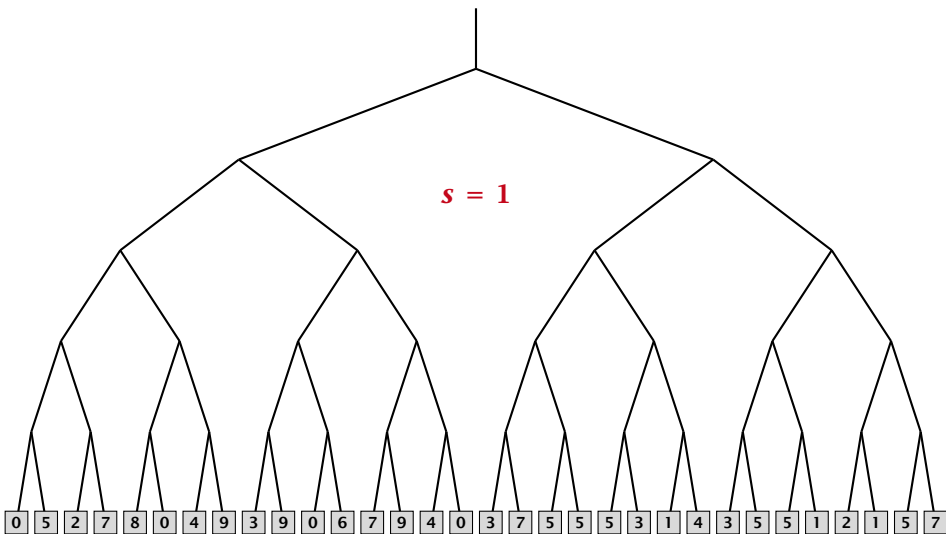
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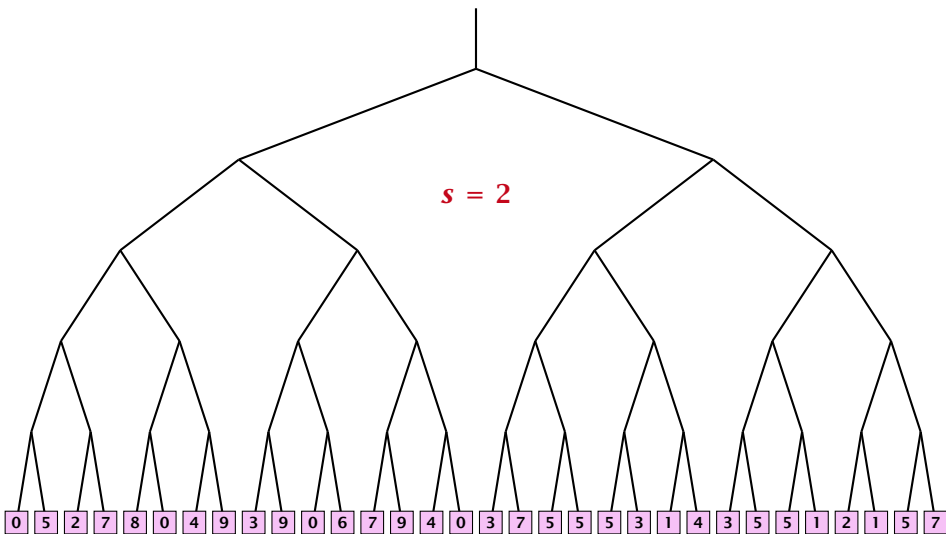
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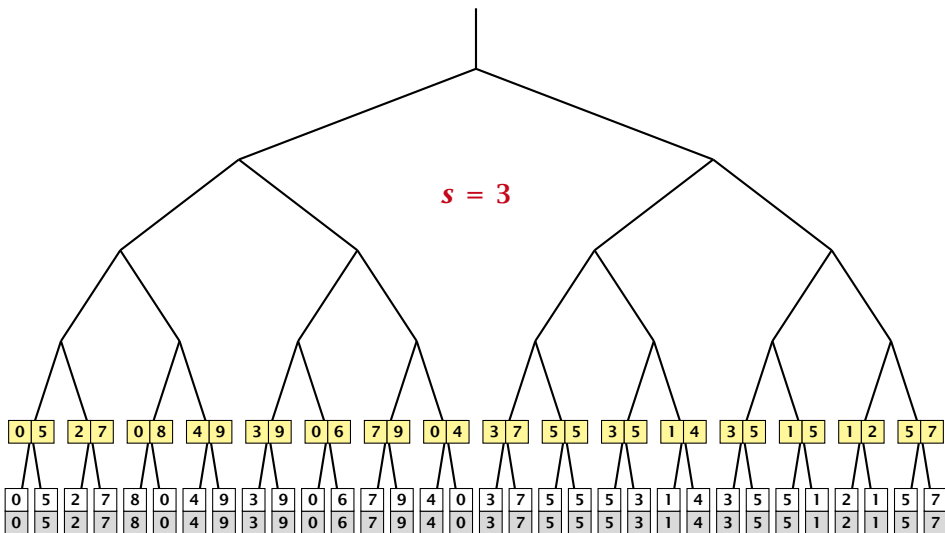
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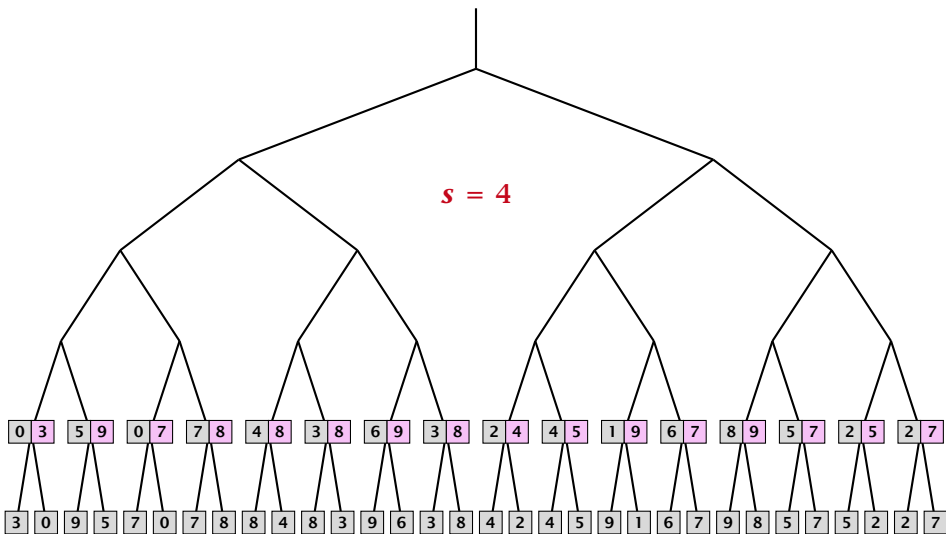
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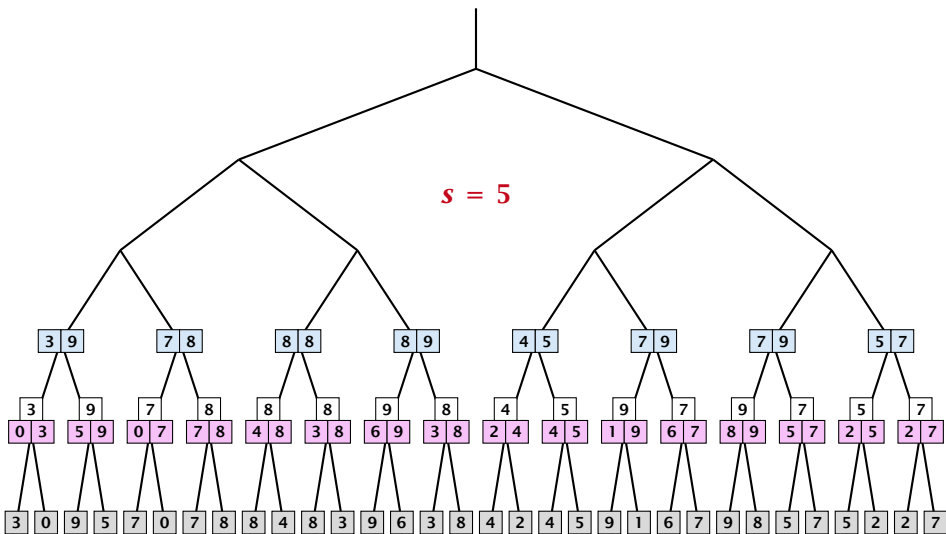
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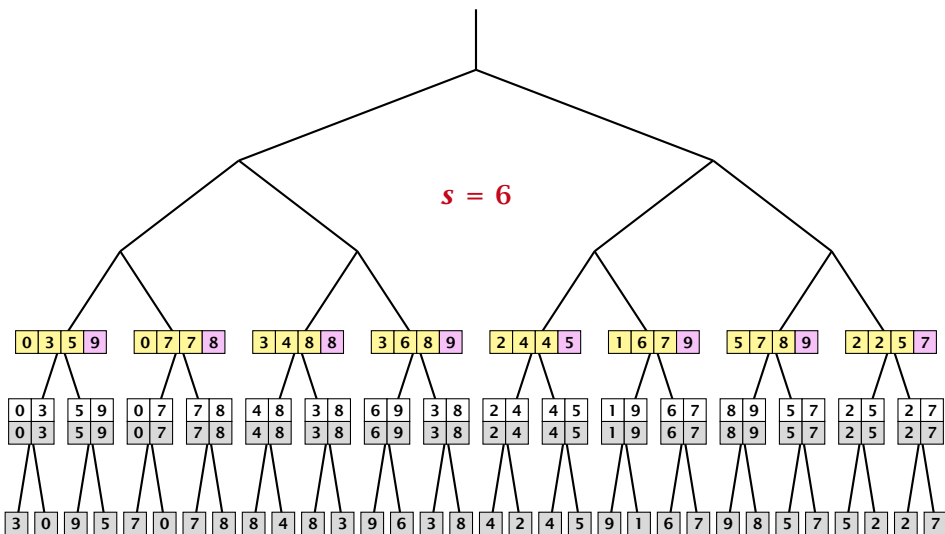
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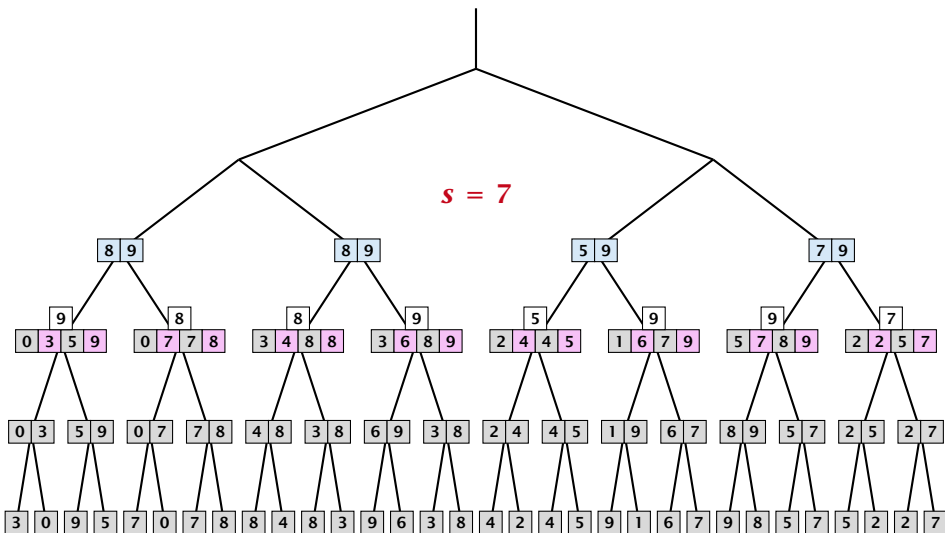
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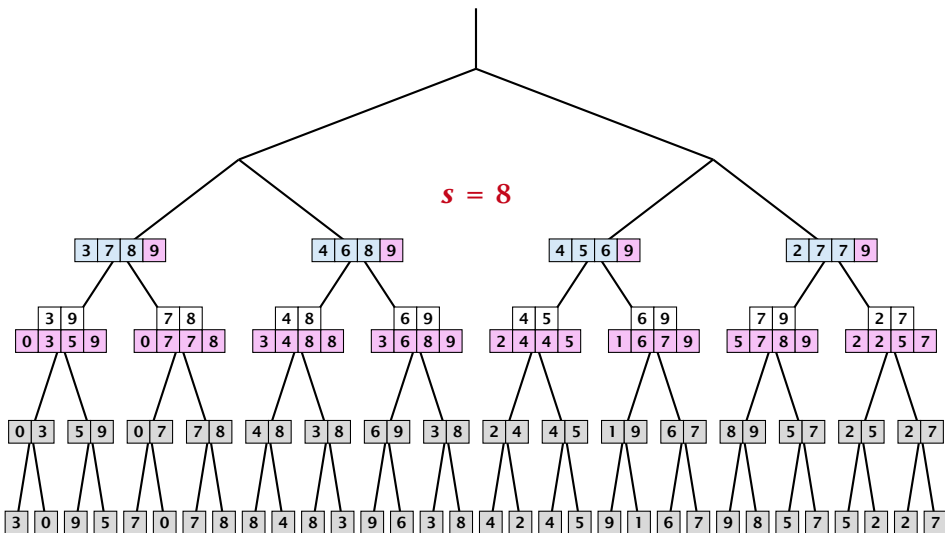
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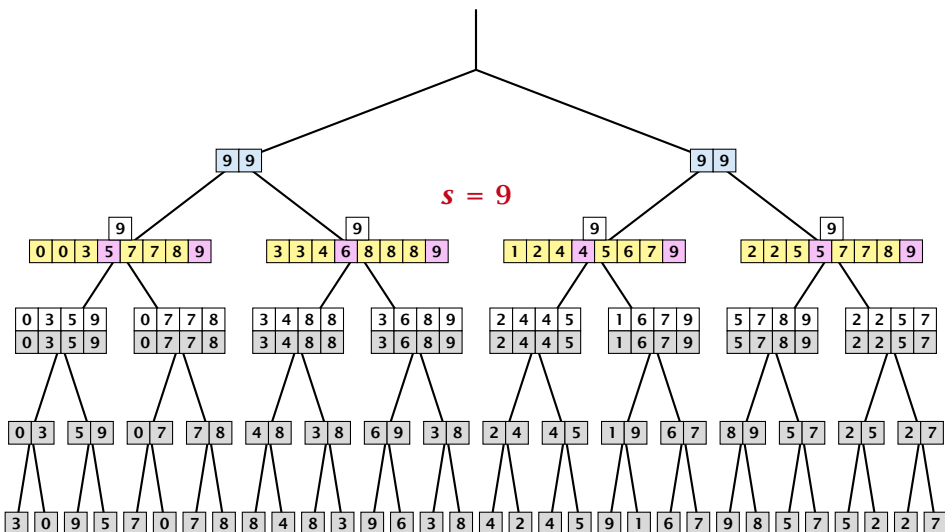
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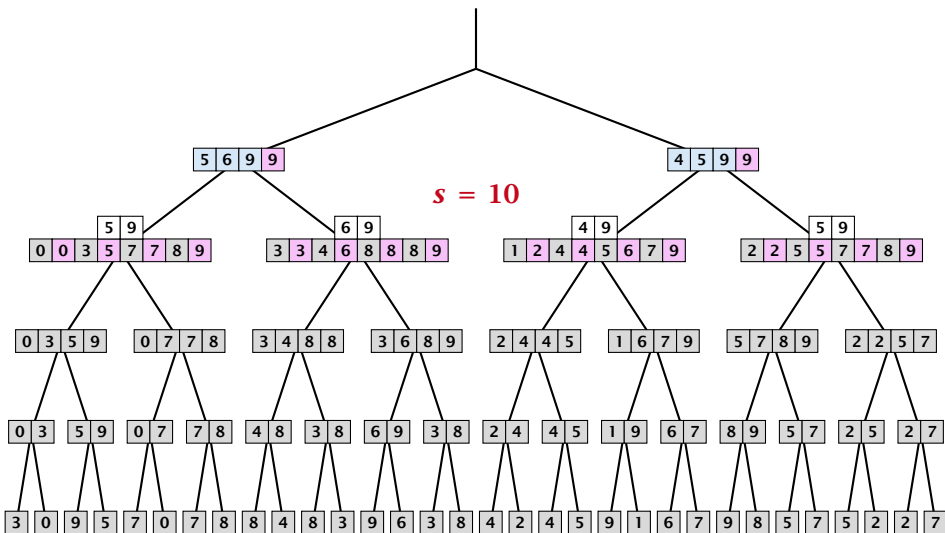
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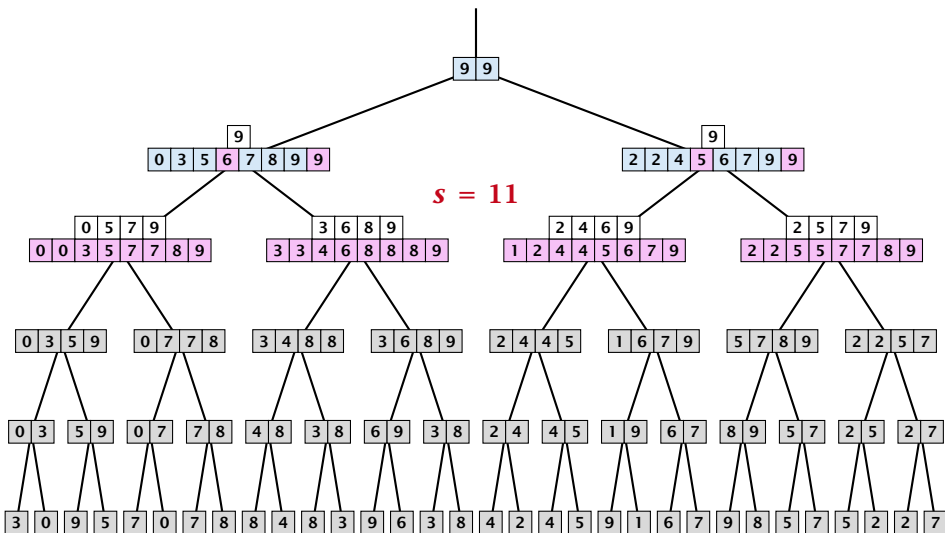
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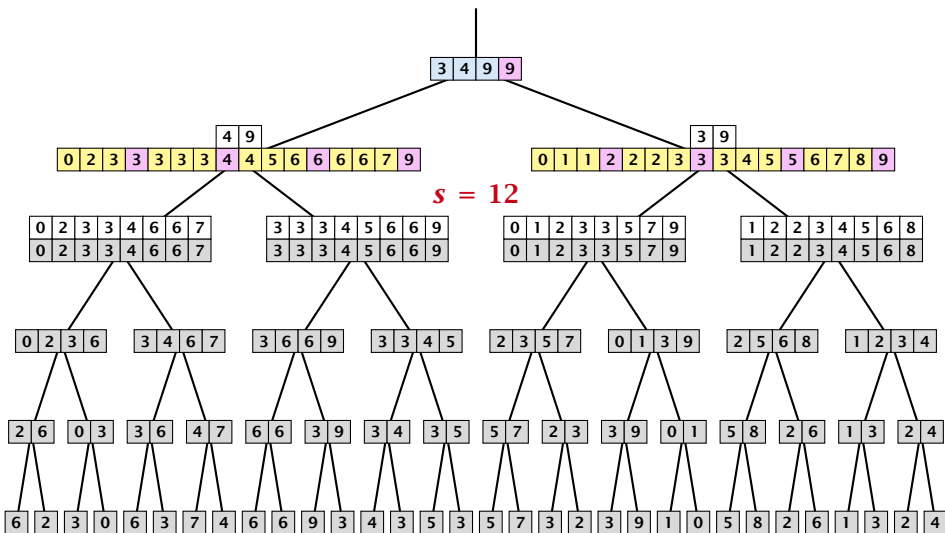
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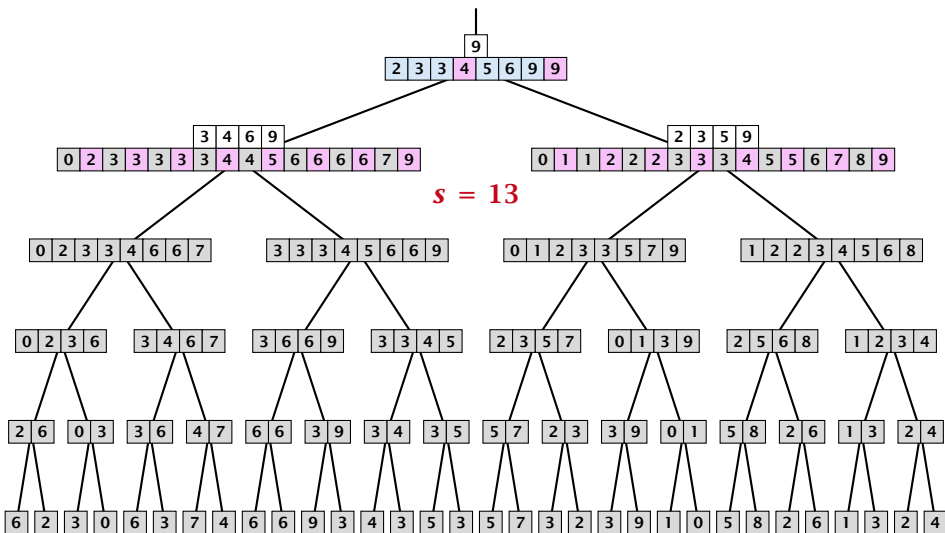
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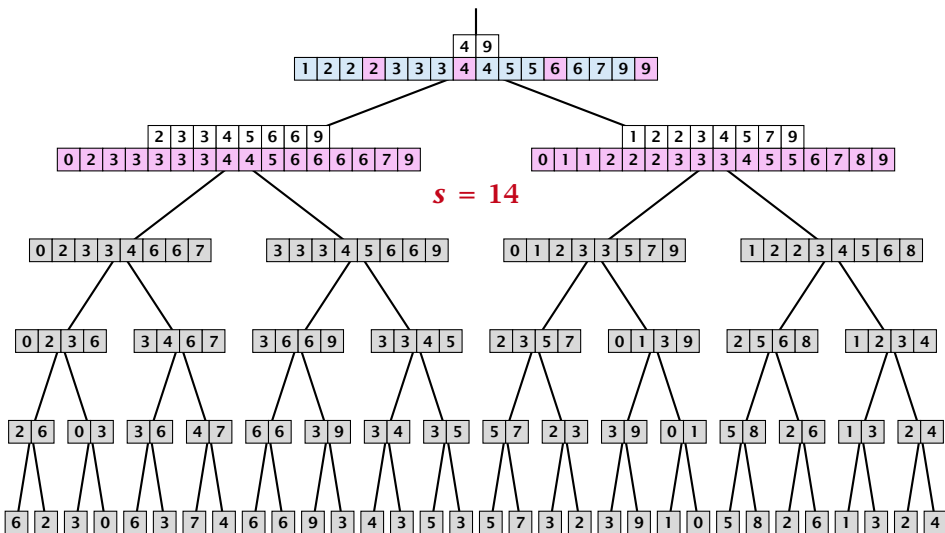
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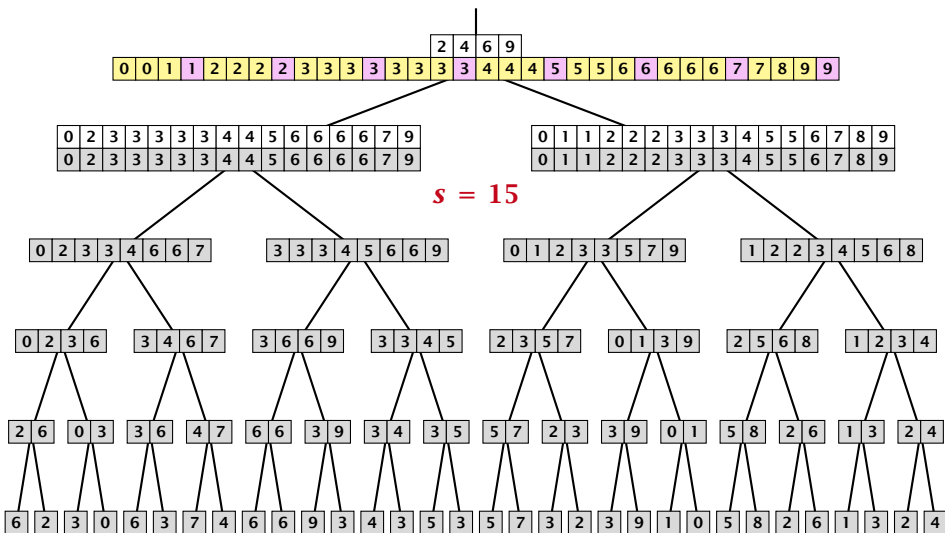
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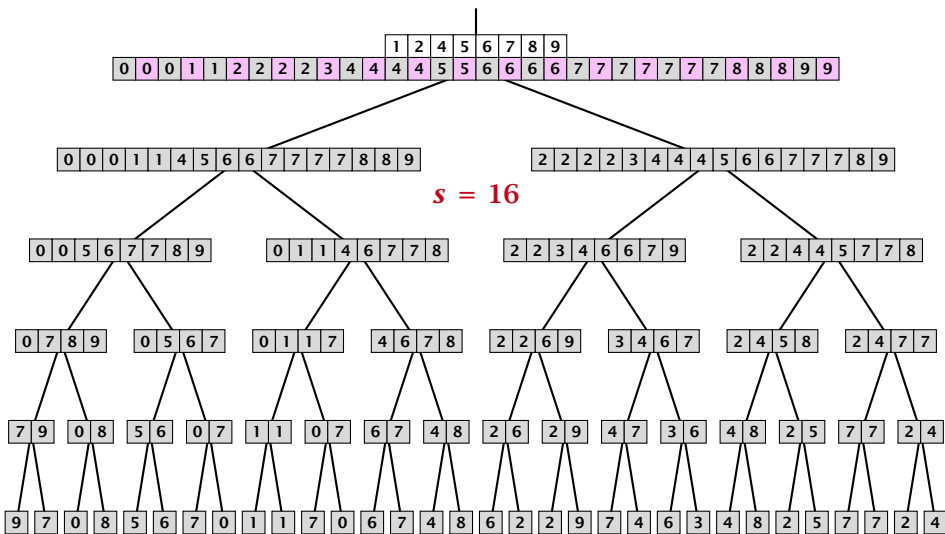
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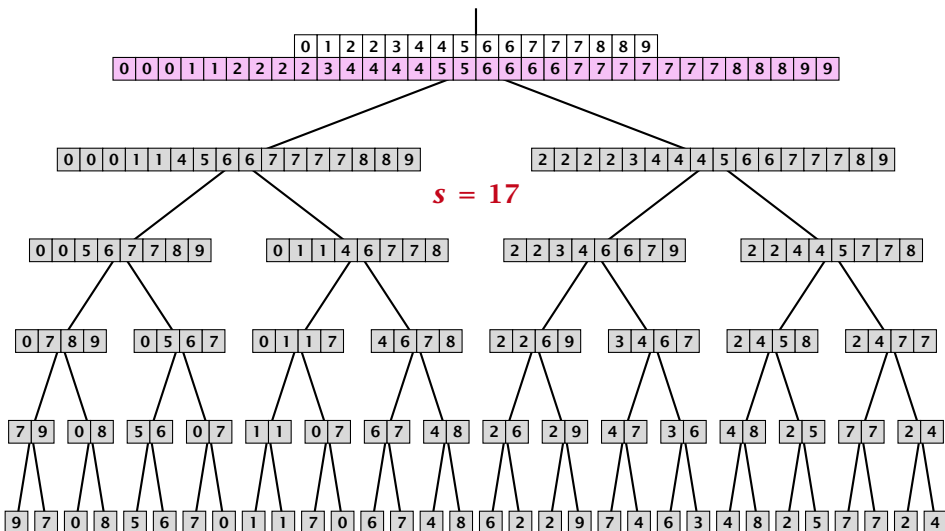
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Pipelined Mergesort

Lemma 3

After round $s = 3 \text{ height}(v)$, the list $L_s[v]$ is complete.

Proof:

(Faint, illegible text, likely bleed-through from the reverse side of the slide)

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Proof:

- ▶ clearly true for leaf nodes
- ▶ suppose it is true for all nodes up to height h ;
- ▶ fix a node v on level $h + 1$ with children u and w
- ▶ $L_{3h}[u]$ and $L_{3h}[w]$ are complete by induction hypothesis
- ▶ further $\text{sample}(L_{3h+2}[u]) = L[u]$ and $\text{sample}(L_{3h+2}[w]) = L[w]$
- ▶ hence in round $3h + 3$ node v will merge the complete list of its children; after the round $L[v]$ will be complete

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Lemma 4

The number of elements in lists $L_S[v]$ for active nodes v is at most $\mathcal{O}(n)$.

proof on board...

Definition 5

A sequence X is a **c -cover** of a sequence Y if for any two consecutive elements α, β from $(-\infty, X, \infty)$ the set $|\{y_i \mid \alpha \leq y_i \leq \beta\}| \leq c$.

Pipelined Mergesort

Lemma 6

$L'_s[v]$ is a 4-cover of $L'_{s+1}[v]$.

If $[a, b]$ with $a, b \in L'_s[v] \cup \{-\infty, \infty\}$ fulfills
 $|[a, b] \cap (L'_s[v] \cup \{-\infty, \infty\})| = k$ we say $[a, b]$ intersects
 $(-\infty, L'_s[v], +\infty)$ in k items.

Lemma 7

If $[a, b]$ intersects $(-\infty, L'_s[v], \infty)$ in $k \geq 2$ items, then $[a, b]$
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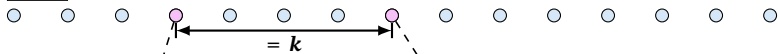
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$L'_s[v]$



$L_{s-1}[v]$



$L'_s[v]$

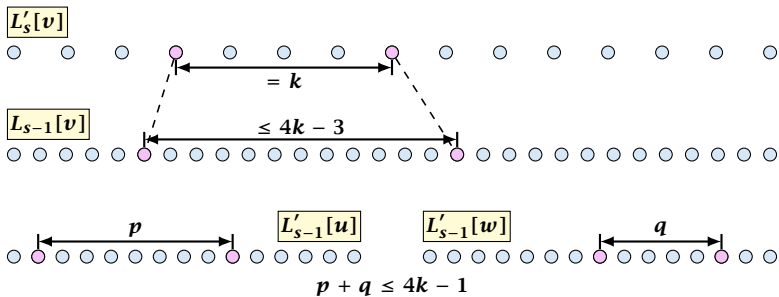


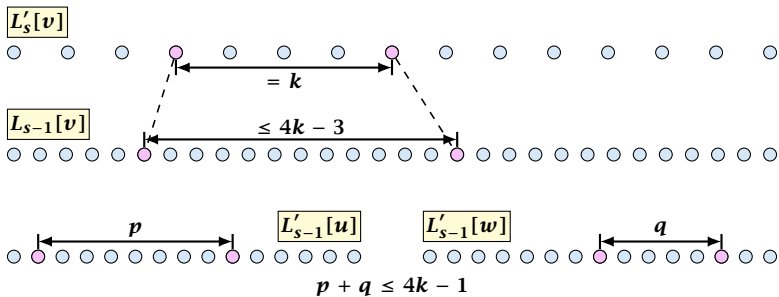
$= k$

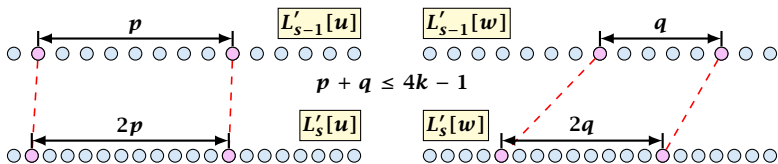
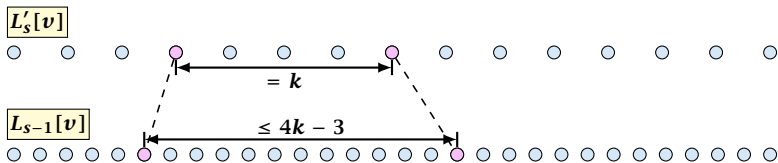
$L_{s-1}[v]$

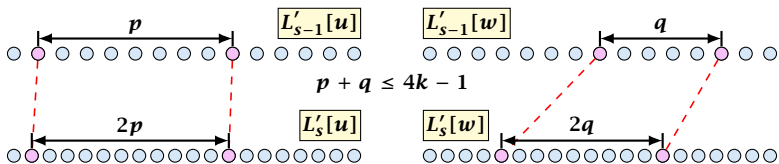
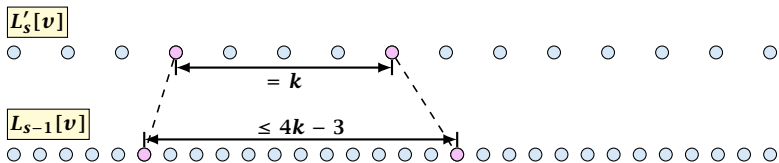


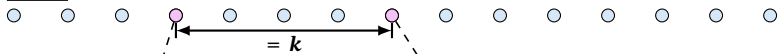
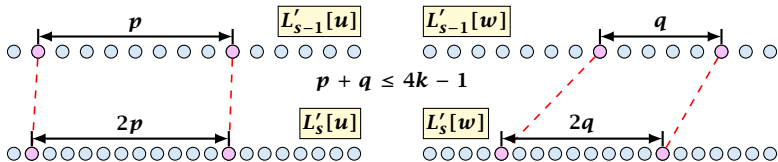
$\leq 4k - 3$

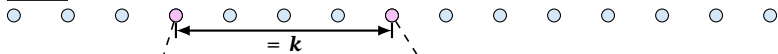
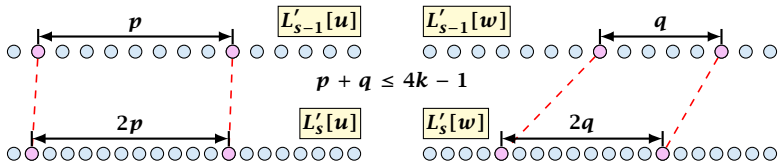


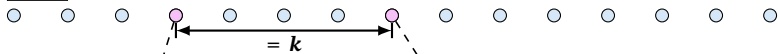
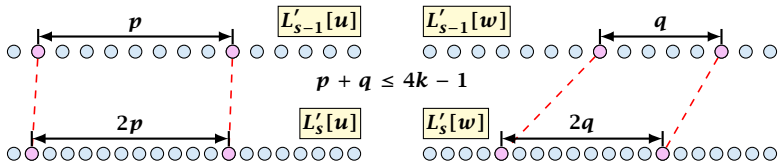


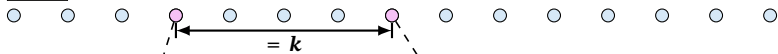
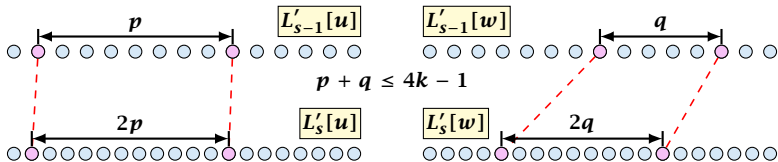


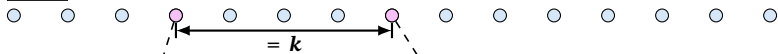
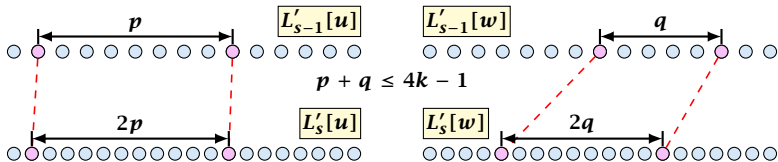


$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$ 

$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$ 

$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$  $L'_{s+1}[v]$ 

$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$  $L'_{s+1}[v]$ 

$L'_s[v]$  $L_{s-1}[v]$  $L_s[v]$  $L'_{s+1}[v]$ 

Merging with a Cover

Lemma 8

Given two sorted sequences A and B . Let X be a c -cover of A and B for constant c , and let $\text{rank}(X : A)$ and $\text{rank}(X : B)$ be known.

We can merge A and B in time $\mathcal{O}(1)$ using $\mathcal{O}(|X|)$ operations.

Merging with a Cover

Lemma 9

Given two sorted sequences A and B . Let X be a c -cover of A for constant c , and let $\text{rank}(X : A)$ and $\text{rank}(X : B)$ be known.

We can merge A and B in time $\mathcal{O}(1)$ using $\mathcal{O}(|X| + |B|)$ operations; this means we can compute $\text{rank}(A : B)$ and $\text{rank}(B : A)$.

In order to do the merge in iteration $s + 1$ in constant time we need to know

$$\text{rank}(L_s[v] : L'_{s+1}[u]) \text{ and } \text{rank}(L_s[v] : L'_{s+1}[v])$$

and we need to know that $L_s[v]$ is a 4-cover of $L'_{s+1}[u]$ and $L'_{s+1}[v]$.

Lemma 10

$L_s[v]$ is a 4-cover of $L'_{s+1}[u]$ and $L'_{s+1}[v]$.

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- ▶ $L_s[v] \supseteq L'_s[u], L'_s[u]$
- ▶ $L'_s[u]$ is 4-cover of $L'_{s+1}[u]$
- ▶ Hence, $L_s[v]$ is 4-cover of $L'_{s+1}[u]$ as adding more elements cannot destroy the cover-property.

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- ▶ Hence, $L_S[v]$ is 4-cover of $L'_{S+1}[u]$ as adding more elements cannot destroy the cover-property.

Analysis

Lemma 11

Suppose we know for every internal node v with children u and w

- ▶ $\text{rank}(L'_s[v] : L'_{s+1}[v])$
- ▶ $\text{rank}(L'_s[u] : L'_s[w])$
- ▶ $\text{rank}(L'_s[w] : L'_s[u])$

We can compute

- ▶ $\text{rank}(L'_{s+1}[v] : L'_{s+2}[v])$
- ▶ $\text{rank}(L'_{s+1}[u] : L'_{s+1}[w])$
- ▶ $\text{rank}(L'_{s+1}[w] : L'_{s+1}[u])$

in constant time and $\mathcal{O}(|L_{s+1}[v]|)$ operations, where v is the parent of u and w .

Given

- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[u])$ (**4-cover**)
- ▶ $\text{rank}(L'_s[u] : L'_s[w])$
- ▶ $\text{rank}(L'_s[w] : L'_s[u])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[w])$ (**4-cover**)

Compute

- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[w])$

Compute

- ▶ $\text{rank}(L'_{s+1}[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_{s+1}[u] : L'_{s+1}[w])$

ranks between siblings can be computed easily

Given

- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[u])$ (**4-cover**)
- ▶ $\text{rank}(L'_s[u] : L'_s[w])$
- ▶ $\text{rank}(L'_s[w] : L'_s[u])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[w])$ (**4-cover**)

Compute

- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[w])$

Compute

- ▶ $\text{rank}(L'_{s+1}[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_{s+1}[u] : L'_{s+1}[w])$

ranks between siblings can be computed easily

Given

- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[u])$ (**4-cover**)
- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[w])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[w])$ (**4-cover**)

Compute (recall that $L_s[v] = \text{merge}(L'_s[u], L'_s[w])$)

- ▶ $\text{rank}(L_s[v] : L'_{s+1}[u])$
- ▶ $\text{rank}(L_s[v] : L'_{s+1}[w])$

Compute

- ▶ $\text{rank}(L_s[v] : L_{s+1}[v])$ (by adding)
- ▶ $\text{rank}(L'_{s+1}[v] : L'_{s+2}[v])$ (by sampling)

Given

- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[u])$ (**4-cover**)
- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[w])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[w])$ (**4-cover**)

Compute (recall that $L_s[v] = \text{merge}(L'_s[u], L'_s[w])$)

- ▶ $\text{rank}(L_s[v] : L'_{s+1}[u])$
- ▶ $\text{rank}(L_s[v] : L'_{s+1}[w])$

Compute

- ▶ $\text{rank}(L_s[v] : L_{s+1}[v])$ (by adding)
- ▶ $\text{rank}(L'_{s+1}[v] : L'_{s+2}[v])$ (by sampling)

Given

- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[u])$ (**4-cover**)
- ▶ $\text{rank}(L'_s[u] : L'_{s+1}[w])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[u])$
- ▶ $\text{rank}(L'_s[w] : L'_{s+1}[w])$ (**4-cover**)

Compute (recall that $L_s[v] = \text{merge}(L'_s[u], L'_s[w])$)

- ▶ $\text{rank}(L_s[v] : L'_{s+1}[u])$
- ▶ $\text{rank}(L_s[v] : L'_{s+1}[w])$

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