

4 Modelling Issues

What do you measure?

- ▶ Memory requirement
- ▶ Running time
- ▶ Number of comparisons
- ▶ Number of multiplications
- ▶ Number of hard-disc accesses
- ▶ Program size
- ▶ Power consumption
- ▶ ...

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How do you measure?

- ▶ Implementing and testing on representative inputs
 - ▶ How do you choose your inputs?
 - ▶ May be very time-consuming.
 - ▶ Very reliable results if done correctly.
 - ▶ Results only hold for a specific machine and for a specific set of inputs.
- ▶ Theoretical analysis in a specific model of computation.
 - ▶ Gives a worst case bound. (This algorithm always runs in $O(n^2)$.)
 - ▶ Usually ignores the details of the machine.
 - ▶ Can give a lower bound. (This algorithm needs at least $\Omega(n \log n)$ comparisons in the worst case.)

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- ▶ Theoretical analysis in a specific model of computation.
 - ▶ Gives a worst case bound. The algorithm always runs in $O(n^2)$.
 - ▶ Usually, we are interested in the asymptotic behavior of the algorithm. This means that we are interested in the growth rate of the algorithm.
 - ▶ The growth rate is the number of operations (or comparisons) in the worst case.

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Given a program P and an input I , the algorithm always runs in

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Input length

The theoretical bounds are usually given by a function $f : \mathbb{N} \rightarrow \mathbb{N}$ that maps the **input length** to the running time (or storage space, comparisons, multiplications, program size etc.).

The input length may e.g. be

the number of the input numbers

the sum of the input numbers

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- ▶ the size of the input (number of bits)
- ▶ the number of arguments

Example 1

Suppose n numbers from the interval $\{1, \dots, N\}$ have to be sorted. In this case we usually say that the input length is n instead of e.g. $n \log N$, which would be the number of bits required to encode the input.

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1. Calculate running time and storage space etc. on a simplified, idealized model of computation, e.g. Random Access Machine (RAM), Turing Machine (TM), ...
2. Calculate number of certain basic operations: comparisons, multiplications, harddisc accesses, ...

Version 2. is often easier, but focusing on one type of operation makes it more difficult to obtain meaningful results.

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Model of Computation

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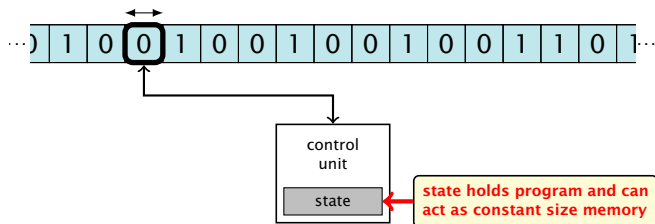
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Turing Machine

- ▶ Very simple model of computation.
- ▶ Only the “current” memory location can be altered.
- ▶ Very good model for discussing computability, or polynomial vs. exponential time.
- ▶ Some simple problems like recognizing whether input is of the form xx , where x is a string, have quadratic lower bound.

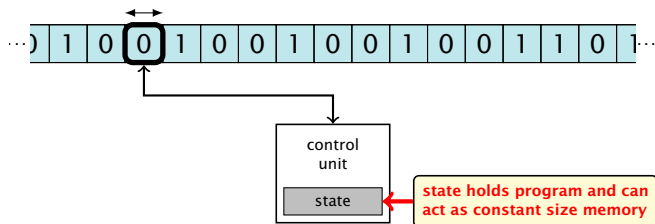
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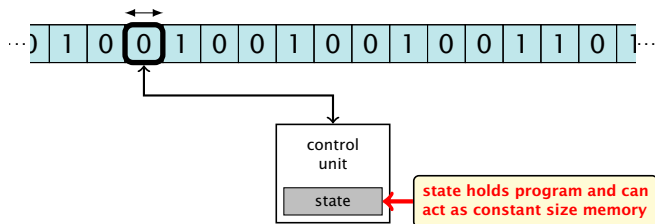
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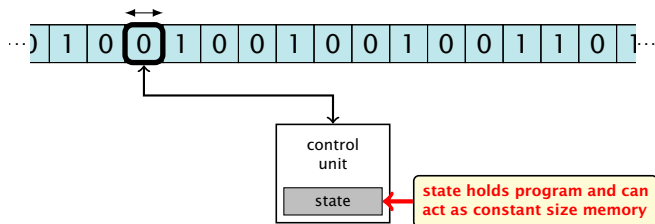
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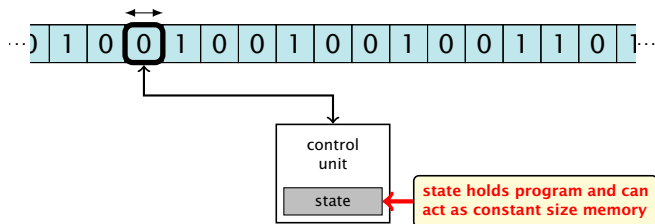
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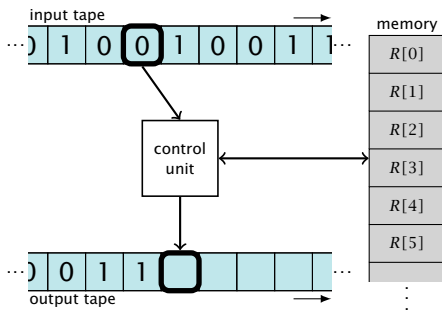
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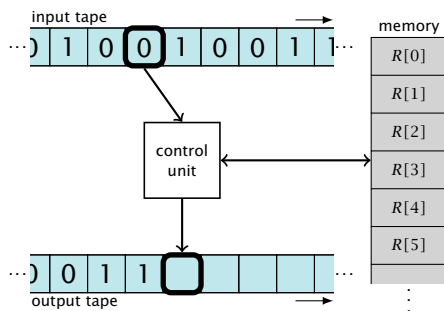
Random Access Machine (RAM)

- ▶ Input tape and output tape (sequences of zeros and ones; unbounded length).
- ▶ Memory unit: infinite but countable number of registers $R[0], R[1], R[2], \dots$
- ▶ Registers hold integers.
- ▶ Indirect addressing.



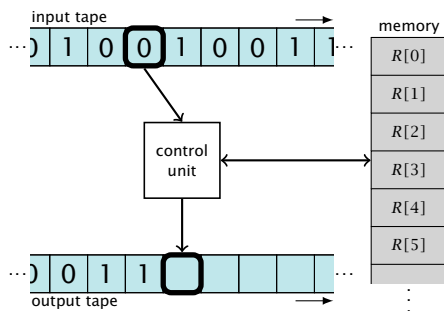
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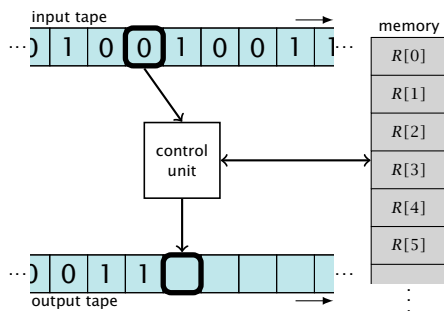
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Random Access Machine (RAM)

Operations

- ▶ input operations (input tape $\rightarrow R[i]$)
 - ▶ READ i
- ▶ output operations ($R[i] \rightarrow$ output tape)
 - ▶ WRITE i
- ▶ register-register transfers
 - ▶ $R[i] \leftarrow R[j]$
 - ▶ $R[i] \leftarrow 0$
- ▶ indirect addressing
 - ▶ $R[i] \leftarrow R[R[j]]$
 - ▶ adds the content of the register number $R[j]$ into register number i

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Operations

- ▶ input operations (input tape $\rightarrow R[i]$)
 - ▶ READ i
- ▶ output operations ($R[i] \rightarrow$ output tape)
- ▶ WRITE x
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 - ▶ $R[j] \leftarrow R[i]$
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 - ▶ $R[i] \leftarrow R[R[i]]$
 - ▶ $R[i]$ holds the content of the register number $R[i]$ (indirect addressing)

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- ▶ $R[i] \leftarrow R[R[i]]$

- ▶ $R[i] \leftarrow$ constant of the register number $R[i]$ into register

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 - ▶ $R[j] := R[i]$
 - ▶ $R[j] := 4$
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Operations

- ▶ branching (including loops) based on comparisons
 - ▶ jump x
jumps to position x in the program;
sets instruction counter to x ;
reads the next operation to perform from register $R[x]$
 - ▶ jumpz $x R[i]$
jump to x if $R[i] = 0$
if not the instruction counter is increased by 1;
 - ▶ jumpi i
jump to $R[i]$ (indirect jump);
- ▶ arithmetic instructions: $+$, $-$, \times , $/$
 - ▶ $R[i] := R[j] + R[k]$
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Model of Computation

- ▶ **uniform** cost model

Every operation takes time 1.

- ▶ logarithmic cost model

The cost depends on the content of memory cells:

- ▶ The time for a step is equal to the largest operand involved.
- ▶ The worst case of a register is equal to the length in bits of the largest value ever stored in it.

Bounded word RAM model: cost is uniform but the largest value stored in a register may not exceed w , where usually $w = \log_2 n$.

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Example 2

Algorithm 1 RepeatedSquaring(n)

```
1:  $r \leftarrow 2$ ;  
2: for  $i = 1 \rightarrow n$  do  
3:    $r \leftarrow r^2$   
4: return  $r$ 
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- ▶ space requirement:
 - ▶ uniform model: $\mathcal{O}(1)$
 - ▶ logarithmic model: $\mathcal{O}(2^n)$

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1:  $r \leftarrow 2$ ;  
2: for  $i = 1 \rightarrow n$  do  
3:    $r \leftarrow r^2$   
4: return  $r$ 
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- ▶ running time:
 - ▶ uniform model: n steps
 - ▶ logarithmic model: $1 + 2 + 4 + \dots + 2^n = 2^{n+1} - 1 = \Theta(2^n)$
- ▶ space requirement:
 - ▶ uniform model: $\mathcal{O}(1)$
 - ▶ logarithmic model: $\mathcal{O}(2^n)$

4 Modelling Issues

Example 2

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There are different types of complexity bounds:

- ▶ **best-case** complexity:

$$C_{bc}(n) := \min\{C(x) \mid |x| = n\}$$

Usually easy to analyze, but not very meaningful.

- ▶ **worst-case** complexity:

$$C_{wc}(n) := \max\{C(x) \mid |x| = n\}$$

Usually moderately easy to analyze; sometimes too pessimistic.

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$$C_{avg}(n) := \frac{1}{|I_n|} \sum_{|x|=n} C(x)$$

more general: probability measure μ

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